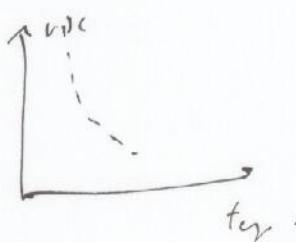


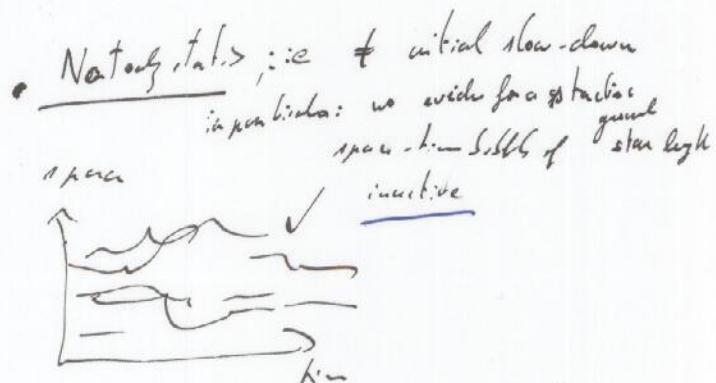
# 1 - Motivations -

## 1.2 Glassy phenomena:

- Glasses:



- Dynamical perspective:



1 day later  
at time

## 2 - Approach: statistics over histories - Ruelle & co. for

### 2.1 Stochastic dynamics: (no quantum aspects)

configuration  $\mathcal{E}$ , jump rates  $W(\mathcal{E} \rightarrow \mathcal{E}')$

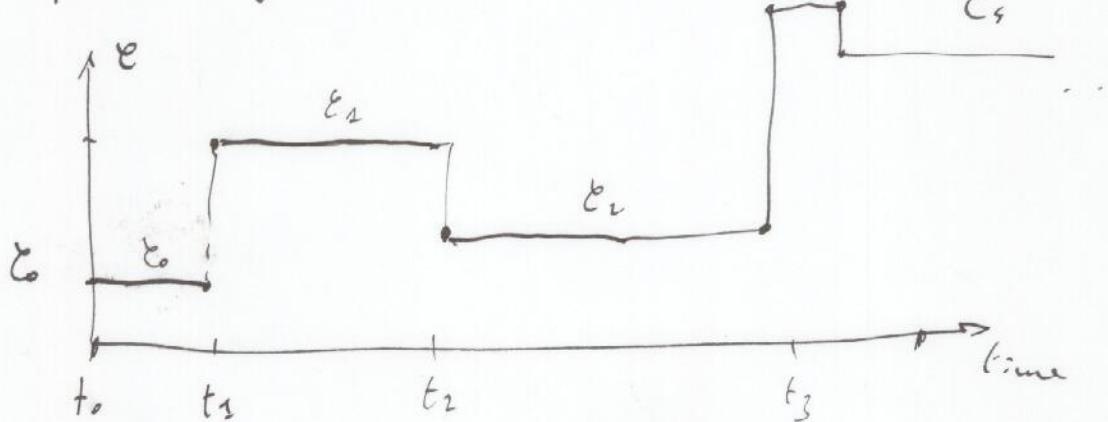
for instance:  $\mathcal{E} = (u_1 \dots u_L)$ ,  $u_k = 0$  or 1



evolution in time of the probability of being in  $\mathcal{E}$  at time  $t$ :

$$\partial_t P(\mathcal{E}, t) = \sum_{\mathcal{E}'} W(\mathcal{E} \rightarrow \mathcal{E}') P(\mathcal{E}', t) - \boxed{\sum_{\mathcal{E}'} W(\mathcal{E} \rightarrow \mathcal{E}') P(\mathcal{E}, t)} \equiv n(\mathcal{E})$$

in practice (e.g. in a simulation):



## 2.2 - Activity :

K  $\rightarrow$  Julien

1.3

Theory club Ed.

- How can one characterize the "complexity" of our history  
at the "activity"

Simpliest approach : Consider  $K = \# \text{ of events (i.e. "jumps"} \epsilon \rightarrow \epsilon') \text{ b/w } \theta \text{ and } t.$

$K$  is a fluctuating observable (depends on the history)

One expects that  $\langle K \rangle$  is  $\propto t$ : indeed: for large  $t$

Rq: the statistics (p.d.f.) of  $K$  will in fact play an important role.  
a) moments of  $K$

- Aims: classify histories according to  $K$ .  $P(\epsilon, K, t)$

$$\partial_t P(\epsilon, K, t) = \sum_{\epsilon'} W(\epsilon' \rightarrow \epsilon) P(\epsilon', K-1, t) - n(\epsilon) P(\epsilon, K, t)$$

$P(\epsilon, K, t)$  difficult to tackle:

We do not lose physical insight going microcanonical  $\rightarrow$  ~~macrocanonical~~ ensemble

- (Dynamical) canonical ensemble: Legendre transform.

$$\hat{P}(\epsilon, s, t) = \sum_K e^{-sK} P(\epsilon, K, t) \quad (\leftrightarrow P(\epsilon, K, t) = \int_{\mathbb{R}^+} ds e^{-sK} \hat{P}(\epsilon, s, t))$$

## 2.3 Effective evolution at $s$ :

$$\boxed{\partial_t \hat{P}(\epsilon, s, t) = \sum_{\epsilon'} e^{-s} W(\epsilon' \rightarrow \epsilon) \hat{P}(\epsilon', s, t) - n(\epsilon) \hat{P}(\epsilon, s, t)}$$

What can we obtain from this?

- All averages of  $K$ :

$$\langle e^{-sK} \rangle = \sum_{\text{histories}} e^{-sK} P(\text{history}) = \sum_{\epsilon, K} e^{-sK} P(\epsilon, K, t) = \sum_{\epsilon} \hat{P}(\epsilon, s, t) \underset{t \rightarrow \infty}{\sim} e^{+t \Psi_K(s)}$$

Non-stochastic evolution

$$\hat{P}_N e^{\Psi_K(s)t}$$

$$(t \rightarrow \infty), \quad \Psi_K(s) = \max_{\epsilon} S_p W_K$$

$$(W_K)_{\epsilon \epsilon'} = e^{-s} W(\epsilon' \rightarrow \epsilon) - n(\epsilon) \delta_{\epsilon \epsilon'}$$

$\Psi_K(s)$  is the wavefunction generating function of  $K$

$$\boxed{\frac{1}{k!} \langle K^k \rangle = (-)^k \frac{\partial^k}{\partial s^k} \Psi_K(s)}$$

inote this for later (one can use wave sp.)

## 2. Entropy generation:

$$Z_K(s,t) = \sum_{\mathbf{e}, K} e^{-sk} P(\mathbf{e}, K, t) \rightarrow \text{dynamical partition function}$$

$$Z_K(s,t) \sim e^{t\psi_K(s)} \rightarrow \psi_K(s) \text{ or dynamical free energy. } (t \rightarrow \text{large } t)$$

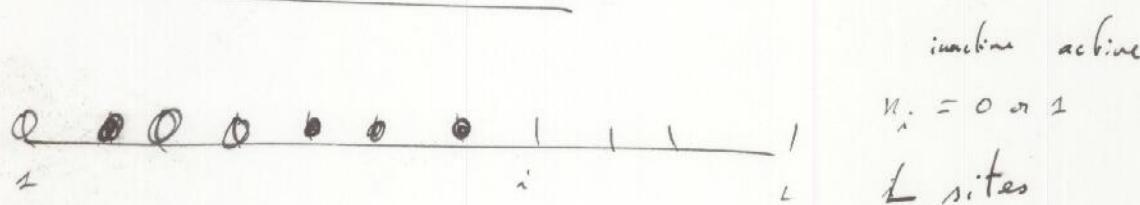
in particular: if  $\psi_K$  is non-analytic: this will indicate a phase transition

## b - Dynamical ensembles: see K

- $s > 0$ : histories with "slow activity"  $\ll K$
  - $s = 0$ : normal steady state,  $\langle K \rangle$
  - $s < 0$ : histories with "high activity"  $\gg K$
- check!

## 3 - Analytical Results -

### 3.1 Kinetically Constrained Models - (Frederickson- Andersen)



$$W(n_i \rightarrow n_i + 1) = c(n_{i+1} + n_{i-1})/2 = c$$

$$W(n_i \rightarrow n_i - 1) = (1-c)(n_{i+1} + n_{i-1})/2 = 1-c$$

~~evolution~~ ~~constrained~~ ~~unconstrained~~  
activity possible only if at least one neighbor is active.

- Presents features of glassy phenomena:

stretched exponential for relaxation of correlation functions  
hysteresis in temperature cycles, ageing

- However: the steady, equilibrium state is the same for  $\begin{cases} \text{trivial to} \\ \text{constrained} \end{cases}$  models !  $\begin{cases} \text{check} \end{cases}$

(Namely: product of probabilities of density  $c$  in each site).

Hence: this is an explicit case where the knowledge of the equilibrium state (of landscape) gives nothing information about glassy features!

### 3.2 Mean field approach:

(1.5)

All sites are equivalents -  $n = \text{occupation}$ ,  $\frac{1}{L} \sum n = L$

Theory (W & Eds.)

$$\left\{ \begin{array}{l} W(n \rightarrow n+1) = c \quad (\text{to left}) \quad n/L \\ W(n \rightarrow n-1) = (1-c) \quad n \quad n/L \end{array} \right. \quad \begin{array}{l} \uparrow \quad \uparrow \\ \text{counting factor} \quad \text{facilitation} \end{array} \quad \begin{array}{l} r(n) = c(1-n)n/L + \\ (1-c)n n/L \end{array}$$

$$\text{Equilibrium: Bernoulli distis}$$

$$P_n^{\text{eq}} = C_L^n e^{-n} (1-c)^{L-n}$$

$\Psi_K(s)$  max of operator  $\partial K$

$$(W_K)_{n,n'} = e^{-s} W(n+1 \rightarrow n) \delta_{n,n+1} + e^s W(n-1 \rightarrow n) \delta_{n,n-1} - r(n) \delta_{nn'}$$

~~If happens that~~  $\underbrace{(P^{\text{eq}})^{1/2} \partial N_K (P^{\text{eq}})^{1/2}}_{\equiv \partial W_K^{\text{sym}}} \text{ is symmetric (detrended balance)}$  ) also works for  $W_K$ .

$$(W_K^{\text{sym}})_{nn'} = e^{-s} [W(n+1 \rightarrow n) W(n \rightarrow n-1)]^{1/2} \delta_{n,n+1} - r(n) \delta_{nn'} + e^{-s} [W(n-1 \rightarrow n) W(n \rightarrow n-1)]^{1/2} \delta_{n,n-1}$$

One uses:  $\max_{\langle P \rangle} \frac{\langle P | W_K^{\text{sym}} | P \rangle}{\langle P | P \rangle} = \Psi_K(s)$  Gant-Fischer

One restricts oneself to  $P = \text{geometric of mean density } p$ . One has

$$\frac{\langle P | \partial N_K^{\text{sym}} | P \rangle}{\langle P | P \rangle} = 2 e^{-s} \sqrt{p^3 (1-p)} \sqrt{c(1-c)} - c p (1-c) \cancel{p} + (1-c) p^2$$

Then:  $\frac{1}{L} \Psi_K(s) = - \min_p F_K(p, s)$

$$\min_p \frac{\frac{2}{L} e^{-s} (W + W')^{1/2} (e^{f_1} + e^{f_2}) - Wt - (W_1^2 + W_2^2)/2}{\sum_n e^{2Nf(c)}}$$

$$F_K(p, s) = \begin{cases} p \left\{ 2 e^{-s} \sqrt{c(1-c)p(1-c)} - [c(1-c) + (1-c)p] \right\} & \text{constrained} \\ 2 e^{-s} \sqrt{c(1-c)p(1-c)} - [ ] & \text{unconstrained} \end{cases}$$

check:  $s=0, p=c$  for the max,  $\Psi_K=0$  as expected

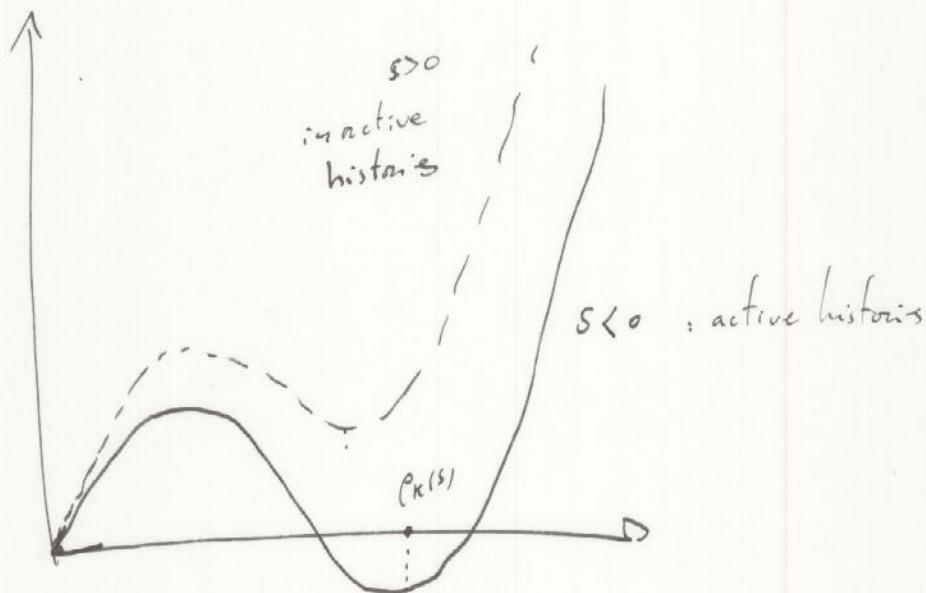
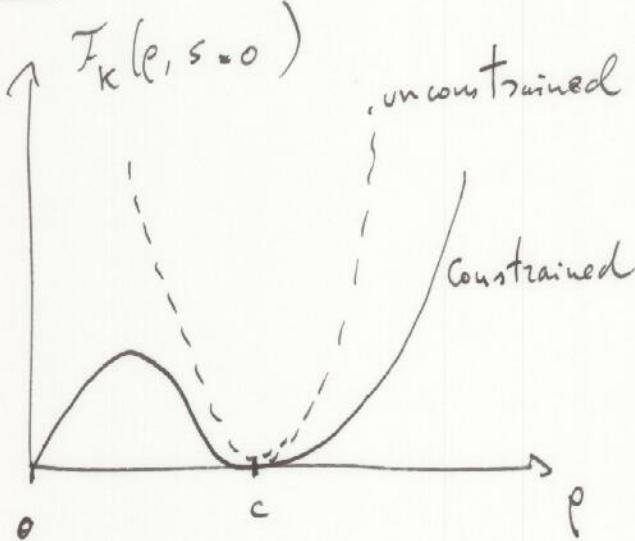
all. sl. :  $\psi \sim \text{constant} \propto \ln V$

### 3.3 Dynamical "landscape" Free Energy Landscape:

1.6

- Graphs:

Theory (Lab Ed)



$(s=0)$ : steady states: lies on the critical line b/w active and inactive histories

1<sup>st</sup> order like transition

(dynamical) phase coexistence

order parameter  $\rho_k(s) = \langle \int_0^t \text{d}u \rangle$

Physical meaning: one can show (eg with action for struc. param.  
or between Donsker Varadhan)

$$\text{Prob}(\text{history with time average } ; s) \sim \exp\left\{ -L t F_k(p, s) \right\}$$

↑: static Landau FO  
is the same for

## (1.7)

### 4. Numerical results :

4.1 Method: (with J. Tailleur) Theory (LB Eqs)

\* let's rewrite the evolution:

$$\partial_t \hat{P}(\epsilon, s, t) = \sum_{\epsilon'} W_s(\epsilon \rightarrow \epsilon') \hat{P}(\epsilon', s, t) - n_s(\epsilon') P(\epsilon, t) + \underbrace{(n_s(\epsilon) - n_s(s))}_\text{loss/gain of probability} P$$

stochastic evolution with modified  $W_s$

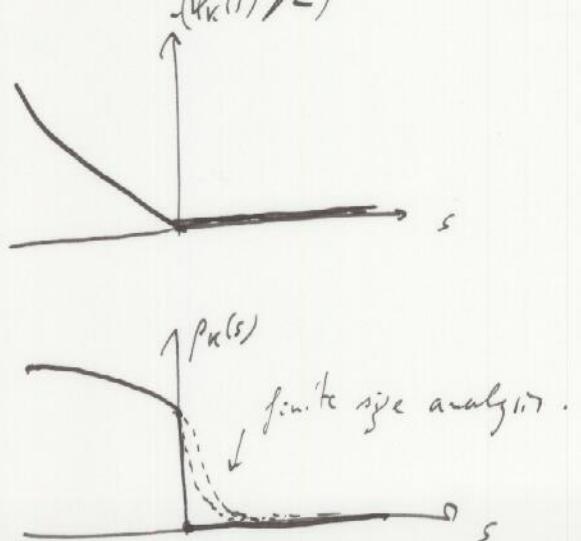
- \* Evolve large number of copies of the system in discrete ( $\delta K, r$ ) / continuous ( $VL, JT$ ) time

At each step:

- normal evolution with rates  $W_s$  (for each clone)
- cloning / pruning of clones.

- \*  $\psi_K(s)$  is the rate of increase / decrease of the population
  - | (or the rate at which one has to ~~go~~ "rescale" the population to keep it constant - which one does).
- \*  $p_k(s)$ : mean density on  $(0, t]$  window of time.

4.2 Results: no access to  $F_K(p, s)$ , but:  $\begin{cases} \psi_K(s) \\ p_K(s) \end{cases} \rightarrow$  order parameter



This confirms the scenario predicted in mean field -

## 5. Galactic Perspectives:

(1.8)  
Theory Old Edits

### Quantitative description of phase transitions:

- link with 4-point correlation functions & dynamical growing length) linked to lot's more in general.
- Self-similarity (min.  $\delta$ ) & numerical approach.

### Question 2 Perspectives:

- How universal is this?
- "Real glass"? (ennard Jones)
- Experiments?

rep

### David <sup>envelope</sup> Weaire:

- Glassy phenomena take place before reaching steady state
- Our analysis ( $\frac{ss_0}{s_0}$ ) is done at infinite time -

("out of equilibrium")

### Handwaving interpretation:

At  $s=0$ : The system histories show different kinds of histories. ( $t \rightarrow \infty$  difficult to reach)

with  $s$  (suitably chosen — this depends on the physics of the system) one "islands" these possibilities at  $t \rightarrow \infty$ .